

SEP 14 2006

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Christoph A. Aktas

Appln. No.: 09/922,062

Filing Date: August 2, 2001

Title: **METHODS AND APPARATUS  
FOR PERFORMING  
MEDIA/DEVICE SENSITIVE  
PROCESSING OF MESSAGES  
STORED IN UNIFIED  
MULTIMEDIA AND PLAIN TEXT  
MAILBOXES**

Examiner: Ashokkumar B. Patel

Art Unit: 2154

Commissioner for Patents  
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Alexandria, VA 22313-1450CERTIFICATE OF EXPRESS MAIL, MAILING OR FACSIMILE  
TRANSMISSION

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Facsimile Number: 571-273-8300

No. of Pages: 17

Date of Deposit or Transmission: Sept. 14, 2006By: Sara E. Armstrong  
Typed Name: Sara E. ArmstrongINFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b),  
(Within three months of either ☐ the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d) or ☐ the date of national stage entry of international application; or ☐ before mailing date of first office action on the merits; or ☐ before mailing date of a first office action after filing of a request for continued examination under 37 CFR 1.114).
- ☒ under 37 CFR 1.97 (c) together with either a:  
☐ Certification under 37 CFR 1.97 (e), OR  
☒ the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account 19-2179. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.  
 (After the 37 CFR 1.97 (b) time period, but before mailing date of any of ☒ a final action under 37 CFR 1.113, or ☐ a notice of allowance under 37 CFR 1.311, or ☐ an action that otherwise closes prosecution).
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Certification under 37 CFR 1.97 (e), AND  
☐ the \$ 180.00 fee under 37 CFR 1.17(p) authorized to be charged to Deposit Account 19-2179. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.  
 (After the 37 CFR 1.97 (c) time period, but ☐ on or ☐ before payment of the issue fee).
- ☐ under 37 CFR 1.97(i)  
 (Filed after time period of 37 CFR 1.97 (d) or not in compliance with 37 CFR 1.98 - No fee or certification required; references to be placed in file but not considered).

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56:

☒ with hard copies, if any, of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or  
☐ without hard copies of publications or other information which have been submitted previously in the parent application (application no. \_\_\_\_\_) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

**37 CFR 1.97(e) Certifications:**

☐ The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. **OR**

☐ The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☐ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).


☐ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

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Date: 16 Sept 06

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Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/922,062
				Filing Date	August 2, 2001
				First Named Inventor	Christoph A. Aktas
				Group Art Unit	2154
				Examiner Name	Ashokkumar Patel
Sheet	1	of	1	Attorney Docket Number	2001P13667US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	5,524,137	A	Rhee	06-04-1996	
	A2	5,608,786	A	Gordon	03-04-1997	
	A3	5,742,763	A	Jones	04-21-1998	
	A4	5,794,039	A	Guck	08-11-1998	
	A5	5,931,907	A	Davies et al	08-03-1999	
	A6	6,003,070	A	Frantz	12-14-1999	
	A7	6,101,320	A	Schuetz et al	08-08-2000	
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	A13	2002/0013815	A1	Obradovich et al	01-31-2002	
	A14	2002/0104088	A1	McGee et al	08-01-2002	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	B1	EP	0 951 165	A2	Beyda et al	10-20-1999	
	B2	WO	99/65256	A2	Fernandez et al	12-16-1999	

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issu number(s), publisher, city and/or country where published.	T <sup>6</sup>
	C1	PATEL A et al: "A technique for multi-network access to multimedia message"; COMPUTER COMMUNICATIONS, ELSEVIER SCIENCE PUBLISHERS BV, AMSTERDAM, NL, vol. 20, no. 5, 1 July 1997 pgs 324-337	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.